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46,706 Reg. No.

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Application of:

Applicant

Ralph F. Kalies

Appln. No.

10/608,265

Filed

June 27, 2003

Title

METHOD FOR CONDUCTING PRESCRIPTION

DRUG CO-PAYMENT PLANS

Docket No.

036806-434

Art Unit

3626

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 CFR §1.97(e)(1)

This supplemental information disclosure statement is being filed prior to the issuance of the first Office Action. This statement is accompanied by a Certification Under 37 CFR §1.97(e)(1).

Pursuant to 37 C.F.R. §1.56, the Examiner's attention is directed to the references listed on the attached Information Disclosure Citation, copies of which are attached hereto.

Each item of information contained in this supplemental information disclosure statement was cited in a communication from the International Searching Authority in corresponding PCT International Application No. PCT/US03/20326 not more than three months prior to the filing of this supplemental information disclosure statement. A copy of the International Search Report issued February 12, 2004, is enclosed herewith for reference.

Appln. No.: 10/608,265 Docket No.: 036806-434

Supplemental Information Disclosure Statement and

Certification Under 37 CFR §1.97(e)(1)

It is to be understood that the present submission of art is in no way intended to be a waiver of any arguments or defenses available to the applicant under the rules of the U.S. Patent and Trademark Office and the statutes of the United States.

No fee is required. The Commissioner is authorized to charge any additional fees required by this paper or to credit any overpayment to Deposit Account No. 20-0809.

Respectfully submitted:

By:

Michael A. Forhan Reg. No. 46,706

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INFORMATION DISCLOSURE CITATION		Docket	: 036806-434	Appln	No · 1	0/608 2	65	
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\* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE information unless it displays a valid OMB control number. perwork Reduction Act of 1995, no persons are required to respond to a collection of Application Number 10/608,265 TRANSMITTAL Filing Date June 27, 2003 **FORM** First Named Inventor Ralph F. Kalies Art Unit 3626 (to be used for all correspondence after initial filing) Examiner Name Attorney Docket Number 036806-434 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request Return Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) The Commissioner is hereby authorized to charge any additional fees required (including the fee for any extension of time), or to credit any Response to Missing Parts/ overpayment, to Deposit Acct. No.: 20-0809. Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Thompson Hine LLP 2000 Courthouse Plaza N.E., 10 West Second Street Individual name Signature A. FORIBLO nichaer Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Michael A. Forhan

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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